

**DJE Investment S.A.**

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**Notice to the investors of funds managed by the DJE Investment S.A. (hereinafter referred to as the "Management Company")**

**Register of beneficial owners**

In order to implement Article 30 of Directive (EU) 2015/849 of the European Parliament and of the Council, the 4th EU Money Laundering Directive, the Act of 13 January 2019 on the establishment of a register of beneficial owners ("Act of 13 January 2019") was adopted. This obliges registered entities to identify their beneficial owners, collect and store relevant information and report it to the register set up for this purpose.

Registered entities in Luxembourg include, inter alia, investment companies and investment funds.

For example, a beneficial owner within the meaning of the law of 12 November 2004 is on a regular basis any natural person who more than 25% of the shares or units of a legal entity or controls it in any other way.

Depending on the specific situation this could lead to end-investors of the fund also being required to report their names and other personal details to the register of beneficial owners. Since 1 September 2019 the following data of a beneficial owner can be consulted by anyone free of charge on the website of the "Luxembourg Business Register": Name, first name(s), nationality(ies), date and place of birth, country of residence and nature and extent of economic interest. Only in exceptional circumstances may public inspection be restricted following a chargeable individual examination.

The LUXEMBOURG BUSINESS REGISTERS ("LBR") is an economic interest grouping bringing together the Luxembourg State, the Chambre de Commerce and the Chambre des Métiers, whose mission is to manage and develop, under the supervision of the Minister of Justice, the various registers which may be entrusted to him by law or regulation. The LBR has also been entrusted with the management of the Register of beneficial owners (Registre des bénéficiaires effectifs) created by the law of 13 January 2019.

If the above criteria relating to beneficial owners are fulfilled by an investor of a fund, that investor is legally obliged to inform the Management Company promptly and to provide the necessary evidence and information within a reasonable time so that the Company can fulfil its obligations imposed by the law of 13 January 2019. If the Company and the beneficial owners concerned fail to comply with their respective obligations imposed by the Act of 13 January 2019 criminal penalties will be imposed.

If an investor is unable to verify whether he qualifies as a beneficial owner, the investor may contact the Company for clarification.